



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/918,704	07/31/2001	Xuejun Wang	23484-015

CONFIRMATION NO. 2922

FORMALITIES LETTER



OC00000006523633

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$584.
 - \$504 for 28 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1424.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/07/2001

*A copy of this notice **MUST** be returned with the reply.*

Jana

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

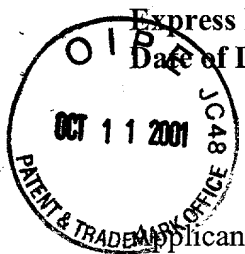
05913704-101101

#3

Express Mail Label No.: EK611904555US

Date of Deposit: October 11, 2001

Attorney Docket No. 23484-015



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Xuejun Wang et al.
Serial No: 09/918,704
Filed: July 31, 2001
For: ANIMATED AUDIO MESSAGING
Examiner: Not Yet Assigned
Art Unit: 2661

Box MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice To File Missing Parts mailed September 7, 2001, Applicants submit herein a check in the amount of \$1,458.00 (#10513) in payment of the application filing fee, extra claims fee and Declaration surcharge fee, along with the Combined Declaration and Power of Attorney executed by the inventors. Applicants also submit 8 sheets of substitute drawings consisting of Figs. 1-8, which corrects the informalities listed on the Notice. The substitute drawings contain no new matter. The enclosed substitute drawings are now in compliance with 37 C.R.F. 1.84. Also enclosed is Part 2 of the Notice to File Missing Parts of Non-Provisional Application and return postcard.

Respectfully submitted,

Thomas M. Sullivan, Esq. (Reg. No. 39,392)
Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111
Telephone 617/542-6000
Attorneys for Applicants

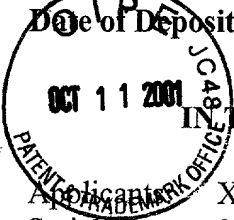
Dated: October 11, 2001

09/918704-101.101

Express Mail Label No.: EK611904555US

Date of Deposit: October 11, 2001

Attorney Docket No. 23484-015



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xuejun Wang et al.
Serial No.: 09/918,704
Filing Date: July 31, 2001
For: ANIMATED AUDIO MESSAGING

Examiner: Not Yet Assigned
Art Unit: 2661

Box MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

- [X] Response to Notice to File Missing Parts;
- [X] Copy of Notice to File Missing Parts as requested;
- [X] Executed Combined Declaration and Power of Attorney;
- [X] Substitute Drawings in Compliance with 37 C.F.R. 1.84, consisting of 8 sheets of Figs 1-8;
- [X] Check in the amount of \$1,458.00 (# 10513) in payment of application filing fee, extra claims fee and Declaration surcharge fee; and
- [X] Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

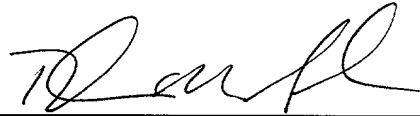
09/918,704-101101

10-12-01

Section
#3

Applicant(s): Xuejun Wang et al.
U.S.S.N.: 09/918,704

If the amount of any of the checks is insufficient or in excess, the Commissioner is hereby authorized to charge or credit the balance due to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 23484-015. A duplicate copy of this transmittal letter is enclosed.



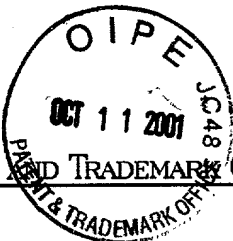
Thomas M. Sullivan, Esq.
Registration No. 39,392
Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
One Financial Center
Boston, MA 02111
Telephone 617/542-6000
Attorneys for Applicants

Date: October 11, 2001

TRA 1573865v1



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CONFIRMATION NO. 2922

FORMALITIES LETTER



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MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/15/2001 SHINASE1 00000075 (9918704)

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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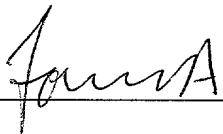
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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be "Laura A", written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09918704-101101